TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

62819 (4590-353)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/FR03/01653

June 2, 2003

June 4, 2002

TITLE OF INVENTION

OPTICAL FILTERING COMPONENT

OPTICAL FILTERING COMPONENT							
APPLICANT(S) FOR DO/EO/US							
Xavier HUGON; Jean-Pierre MOY; Romain RAMEL							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) as indicated below.							
4. 🖂 The US has been elected (Article 31).							
5. 🖂 A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. 🔲 is transmitted hereto (required only if not communicated by the International Bureau).							
b. 🖂 has been communicated by the International Bureau.							
c. is not required, as the application was filed in the United States Receiving Office (RO/US)							
6. 🛛 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
a. 🛛 is attached hereto.							
b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7. 🖂 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (required only if not communicated by the International Bureau).							
b. A have been communicated by the International Bureau.							
c. have not been made; however, the time limit for making such amendment has NOT expired.							
d. have not been made and will not be made.							
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:							
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. 🛮 A preliminary amendment.							
14. 🛮 An Application Data Sheet under CFR 1.76							
15. A substitute specification.							
16. A power of attorney and/or change of address letter.							
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821–1.825							
18. A second copy of the published international application under 35 U.S.C. 154(d)(4)							
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)							
20. Other items or information: PCT/IB/304; PCT/IB306; 1 st page of WO 03/102654.							

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21. 🛛	The following	g fees are submitted:		•			CALC	JLATIONS	PTO USE ONLY	
BASIC	NATIONAL FE	E (37 CFR 1.492(a)(1)-(5	5)):							
Neither international preliminary examination fee (37 CFR 1.482)										
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,110.00										
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO										
or JPO \$ 950.00										
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid \$ 790.00										
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article										
33(1)-(4) International preliminary examination fee (37 CFR 1.482) paid to							ĺ			
USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)										
ENTER APPROPRIATE BASIC FEE AMOUNT =							\$	950.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$	0.00		
С	LAIMS	NUMBER FILED		NUMBER EXTRA	RA.	TE				
Total Claims		23	- 20 =	3	× \$1	× \$18.00		54.00		
Independent Claims		1	- 3=	0	× \$88.00		\$	0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$300.00							\$	0.00		
TOTAL OF ABOVE CALCULATIONS =							\$	950.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							\$	0.00		
SUBTOTAL =						\$	1004.00			
Processing fee of \$130.00 for furnishing the English translation later than the 30 months-from the earliest claimed priority date (37 CFR 1.492(f)).						\$	0.00			
TOTAL NATIONAL FEE =						\$	1004.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$	0.00		
TOTAL FEES ENCLOSED =						\$	1004.00			
								mount to refunded:	\$	
								charged:	\$	
a. 🗆	A check in the	ne amount of \$0	0 to cover	the above fees is enclos	sed.				-	
b. Please charge my Deposit Account No. <u>07-1337</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1337. A duplicate copy of this sheet is enclosed.										
c. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE	(h)) must h	ppropriate time limit und e filed and granted to res with the following Cust	store the a	application to pending	status.	Kingly	o revi use t	ve (37 CFF he attorne	R 1.137(a) or ys' address	
SEND	ALL CORR	ESPONDENCE TO:								
LOWE HAUPTMAN GILMAN & BERNER, LLP										
1700 Diagonal Road, Suite 300 Customer Zenne							th M. Buner			
(703) 684-1111 No. 33308 SIGNATURE							•			
PATENT TRADEMARK OFFICE NAME							N/ P	arnar		
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